



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Bryan M. CANTRILL
SERIAL NO.: 10/713,412
FILED: November 14, 2003
TITLE: METHOD AND APPARATUS FOR CACHING PREDICATES IN A TRACING FRAMEWORK

ART UNIT: 2171
EXAMINER:

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[XX] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [] the fee set forth in § 1.17(p); or
- [] the statement specified in § 1.97(e).

[] This Statement is being filed under 37 CFR 1.97(d), but prior to the payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for filing this paper. However, if Applicants are in error, the Director is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 03226.341001 for any additional fees and/or credits.

Respectfully submitted,

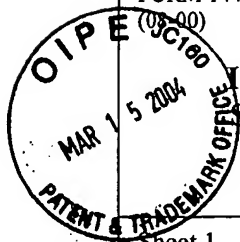
Dated: _____

3/11/04

for Jonathan P. Osha, Reg. No. 33,986 48,885

OSHA NOVAK & MAY L.L.P.
One Houston Center, Suite 2800
1221 McKinney Street
Houston, Texas 77010

Telephone No.: (713) 228-8600
Facsimile No.: (713) 228-8778



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| SUBSTITUTE FOR FORM 1449 | | | | U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | | 10/713,412 | |
| | | | | Filing Date | | 11/14/2003 | |
| | | | | First Named Inventor | | Bryan M. CANTRILL | |
| | | | | Group Art Unit | | 2171 | |
| | | | | Examiner Name | | | |
| Sheet 1 | 1 | of | 1 | Attorney Docket Number | | 03226.341001; SUN040193 | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|----------|-----------------|-----------------------------|---|---|
| U.S. Patent Document | | | | | |
| Examiner Initials* | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
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| Examiner initials* | Cite No. | Office | Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T** |
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| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

** Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

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| CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Applicant(s): Bryan M. CANTRILL | | | Docket No. 03226.341001; SUN040193 |
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| Serial No. 10/713,412 | Filing Date 11/14/2003 | Examiner | Group Art Unit 2171 |
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**Method and Apparatus for Caching Predicates
in a Tracing Framework**

I hereby certify that this

Information Disclosure Statement

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on March 11, 2004
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